# Simple and Precise Widenings for H-Polyhedra

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  - Abstract. While the definition of the revised widening for polyhedra is defined in terms of inequalities, most implementations use the double description method as a means to an efficient implementation. We show how standard widening can be implemented in a simple and efficient way using a normalized H-representation (constraint-only) which has become popular in recent approximations to polyhedral analysis. We then detail a novel heuristic for this representation that is tuned to capture linear transformations of the state space while ensuring quick convergence for non-linear transformations for which no precise linear invariants exist.

## 1 Introduction

The lattice of convex polyhedra is a popular abstract domain [7] for inferring linear relations between variables. Implementations of the full domain [1] and its many approximations [14,17,21] were used to infer program properties (such as list sizes [16] and variable ranges) or to analyze models (such as hybrid automata [12]). The infinite ascending chains in the lattice of polyhedra require that the inferred states are widened to ensure that the fixpoint computation terminates. To this end, a widening operator extrapolates the changes between two (or more) iterates of a fixpoint computation to a state that likely includes all future iterates. Standard widening for polyhedra [10] is defined in terms of the so-called H-representation of polyhedra (a set of linear constraints), and its straightforward implementation requires a quadratic number of entailment checks [5]. In this paper, we introduce normal widening that implements standard widening using only simple syntactic checks, based on a normalized H-representation. It avoids the creation of redundant inequalities which, as we show, is inherent in the original definition [10]. These redundancies are avoided in classic polyhedra libraries that store a double description of a polyhedron consisting of constraints (equalities and inequalities) and generators (vertices, rays, and lines). Thus, our algorithm benefits novel implementations that only use constraints [5,18,19].

Since standard widening is often too imprecise, various heuristics have been proposed to improve the prediction of the state space growth. Most of these heuristics rely on the double description of polyhedra which makes them ill-suited to implementations using H-polyhedra. We therefore seek new heuristics that only require the H-representation and take advantage of the normal form.

 $<sup>^{\</sup>star}$  The work was supported by the DFG Emmy Noether Programme SI 1579/1.

<sup>\*\*</sup> NSFC 60725206 and INRIA project "Abstraction" common to CNRS and ENS.

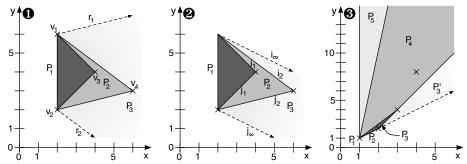


Fig. 1. Comparing the evolving-points heuristic with our method.

To this end, consider the first diagram of Fig. 1 which shows how the "evolving point" heuristic [1] widens the polyhedron  $P_1$  with respect to the next iterate  $P_2$ : The vertices  $v_1, v_2, v_3$  in  $P_1$  are subtracted from vertices that are new in  $P_2$ (here only  $v_4$ ) and the resulting extreme rays  $r_1 = v_4 - v_2$  and  $r_2 = v_4 - v_1$ are added to  $P_2$  thereby defining the resulting polyhedron  $P_3$ . The second diagram illustrates our heuristic that rotates inequalities  $i_1$  in  $P_1$  and  $i_2$  in  $P_2$  to  $i_{\infty}$  which has the same distant to the evolving vertices  $v_3$  and  $v_4$ . Performing the analog rotation for  $j_1, j_2$  to  $j_{\infty}$  yields the state  $P_3$ . This result is indeed an invariant for loops that contain the statement if (y==5)  $\{x=x+2; y=y-1;\}$ . Extrapolating with the assumption that transformations are linear means that our heuristic can widen rapidly when a non-linear transformation is observed. This is illustrated in the third diagram where the polyhedra  $P_n$  show the evaluation of the loop body x=x+1; y=2\*y; with  $P_1 = \{\langle 1, 1 \rangle\}$ . The exact values  $\langle x, y \rangle$ are indicated by crosses. The Parma Polyhedra Library [1] delays widening<sup>1</sup> until both polyhedra have the same dimension. Then  $P_3$  is widened with some heuristic to give  $P_4$  which has to be widened to  $P_5$  which is observed to be a fixpoint in the 6th iteration. In contrast, our heuristic is able to widen  $P_2$ , yielding  $P_3' = \{x \leq y, x \geq 1\}$  which is confirmed as a fixpoint in the 4th iteration. Thus, in case of non-linear transformations, polyhedra are unable to express a precise invariant (e.g.  $2^x = y$ ) so that performing two additional iterations is likely to be a waste of time. In summary, this paper makes the following contributions:

- It presents an implementation of standard widening for *H*-polyhedra that requires only syntactic operations rather than expensive entailment checks.
- We present a heuristic that requires only the H-representation and which tries to guess linear transformations based on the observed changes.
- We show that our heuristic often terminates faster than classic heuristics.

The remainder of the paper is organized as follows. The next section introduces required notation. Section 3 presents the well-known standard widening and our implementation for normalized polyhedra. Section 4 details our novel heuristic which Sect. 5 evaluates. Section 6 presents related work and concludes.

<sup>&</sup>lt;sup>1</sup> when given one token; without any tokens, the PPL library discards all inequalities.

## 2 Preliminaries

Let  $\mathbf{x} = \langle x_1, \dots x_n \rangle$  denote an ordered set of variables, let  $Ineq_n$  denote the set of linear inequalities  $\boldsymbol{a} \cdot \boldsymbol{x} \leq c$  where  $\boldsymbol{a} \in \mathbb{R}^n$  and  $c \in \mathbb{R}$ . Moreover, let e.g.  $6x_3 \ge x_1 - 5$  abbreviate  $(1, 0, -6, 0, \dots 0) \cdot \mathbf{x} \le 5$ . Define  $Eq_n$  to denote the set of equalities of the form  $\boldsymbol{a} \cdot \boldsymbol{x} = c \in Eq_n$ . Given an equality set  $E \subseteq Eq_n$ , we use  $E^{\leq} := \{ \boldsymbol{a} \cdot \boldsymbol{x} \leq c, \boldsymbol{a} \cdot \boldsymbol{x} \geq c \mid \boldsymbol{a} \cdot \boldsymbol{x} = c \in E \}$  to denote the corresponding set of inequalities. Each inequality  $a \cdot x \leq c \in Ineq_n$  induces a half-space  $[a \cdot x \leq a]$  $[c] = \{x \in \mathbb{R}^n \mid a \cdot x \leq c\}$ . Each finite set of inequalities  $I = \{\iota_1, \dots \iota_m\} \subseteq Ineq_n\}$ induces a closed, convex polyhedron  $[I] = \bigcap_{i=1}^m [\iota_i]$ . Let  $Poly_n = \{[I] \mid I \subseteq I\}$  $Ineq_n, |I| \in \mathbb{N}$  denote the set of all (finitely generated) polyhedra. The tuple  $\langle Poly_n, \subseteq, \cap, \overline{Y} \rangle$  is a lattice with  $P_1 \overline{Y} P_2 = cl(hull(P_1 \cup P_2))$  where cl denotes topological closure and hull is the convex hull operation on sets of points. This lattice can serve as abstract domain in a program analysis where a bifurcation with condition  $c \in Ineq_n$  in the control flow graph is modeled using the meet operation  $P \cap [\![c]\!]$  and a merge of control flow edges is modeled by the join  $P_1 \ \overline{\lor} \ P_2$  [7]. However, the lattice contains infinite chains  $P_1 \subset P_2 \subset P_3 \ldots$  so that standard Kleene iteration may not converge onto a fixpoint in finite time. To guarantee convergence, a widening operator  $\nabla: Poly_n \times Poly_n \rightarrow Poly_n$  is required, satisfying the following:

- 1.  $\forall x, y \in Poly_n : x \subseteq x \nabla y$
- 2.  $\forall x, y \in Poly_n : y \subseteq x \nabla y$
- 3. for all increasing chains  $x_0 \subseteq x_1 \subseteq \ldots$ , the increasing chain defined by  $y_0 = x_0$  and  $y_{i+1} = y_i \nabla x_{i+1}$  is ultimately stable.

All operations can be implemented by storing a set of constraints (equalities and inequalities) for each polyhedron: Suppose that  $P_i = \llbracket I_i \rrbracket$ , i = 1, 2, then  $P_1 \cap P_2 = \llbracket I_1 \cup I_2 \rrbracket$  and  $P_1 \subseteq P_2$  iff for all  $\boldsymbol{a} \cdot \boldsymbol{x} \leq c \in I_2$  it holds that  $c \geq \max(\boldsymbol{a} \cdot \boldsymbol{x}, I_1)$  where  $\max: Lin_n \times \mathcal{P}(Ineq_n) \to (\mathbb{Q} \cup \{\infty\})$  infers the maximum that  $\boldsymbol{a} \cdot \boldsymbol{x}$  can take on in  $\llbracket I_1 \rrbracket$ . Note that  $\max(\boldsymbol{a} \cdot \boldsymbol{x}, I)$  can be inferred using the Simplex algorithm for linear programming. Internally, this algorithm searches for a positive linear combination  $\boldsymbol{\lambda} \in \mathbb{Q}^k$  of  $k \leq n$  inequalities  $\{\boldsymbol{a}_1 \cdot \boldsymbol{x} \leq c_1, \dots \boldsymbol{a}_k \cdot \boldsymbol{x} \leq c_k\} \subseteq I$  such that  $\boldsymbol{\lambda} \cdot (\boldsymbol{a}_1 \dots \boldsymbol{a}_k) = \boldsymbol{a}$  and  $c = \boldsymbol{\lambda} \cdot (c_1 \dots c_k)$  maximizes  $\boldsymbol{a} \cdot \boldsymbol{x}$  in  $\llbracket I \rrbracket$ . We use  $\langle \boldsymbol{\lambda}, c \rangle = \max Ext(\boldsymbol{a} \cdot \boldsymbol{x}, I)$  to calculate  $\boldsymbol{\lambda}$ . Linear programming has also been used to approximate the calculation of  $P_1 \ \overline{\ } \ P_2 \ [18,19]$ . In this context, it is mainly used to remove redundant inequalities. An inequality  $\iota \in I$  is redundant in I if  $\llbracket I \setminus \iota \rrbracket \subseteq \llbracket I \rrbracket$ . Throughout this paper, we assume that the representation I of a polyhedron  $P = \llbracket I \rrbracket$  contains no redundant inequalities ("I is non-redundant").

The advent of approximate join operators that are solely based on constraints [18,19] raises the question how other operations, e.g., the widening, can be implemented in a constraint-only representation. The following section presents a simple constraint-only based implementation of the standard widening operator before Sect. 4 addresses the question of additional heuristics that, so far, have only been implemented using the double description method which is a representation that uses both, constraints (equalities and inequalities) and generators (vertices, rays, and lines).

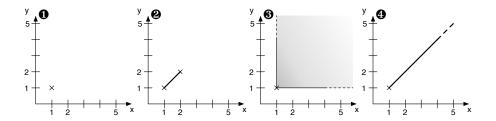


Fig. 2. Illustrating standard widening

## 3 Widening for Polyhedra

The presence of infinite ascending chains requires a widening to accelerate and guarantee convergence of the fixpoint computation. The original widening operator on polyhedra proposed in [7] was intuitive in that all inequalities that were new are abandoned. Its improvement, presented in [10], refines this idea by making this process resilient to different representations of the same state space. The latter algorithm has specialized implementations based on the double description method and has become known as the *standard widening*. We restate the standard widening based on the constraint-only representation and present an efficient and simple implementation that does not need generators.

**Definition 1 (Standard widening).** Given two polyhedra  $P_1 = [\![J_1]\!]$  and  $P_2 = [\![J_2]\!]$  satisfying  $P_1 \subseteq P_2$  where  $J_1, J_2 \subseteq Ineq_n$  are non-redundant, we define

$$P_1 \triangledown P_2 \stackrel{\mathrm{def}}{=} \llbracket \mathcal{C}_1 \cup \mathcal{C}_2 \rrbracket$$

where

$$\mathcal{C}_1 = \{ \iota_1 \in J_1 \mid \llbracket J_2 \rrbracket \subseteq \llbracket \iota_1 \rrbracket \}, 
\mathcal{C}_2 = \{ \iota_2 \in J_2 \setminus J_1 \mid \exists \iota_1 \in J_1, \llbracket (J_1 \setminus \iota_1) \cup \iota_2 \rrbracket = \llbracket J_1 \rrbracket \}.$$

The first set  $C_1$  contains all inequalities of  $P_1$  that are not violated by the larger  $P_2$  and corresponds to the original widening. Standard widening adds  $C_2$ , consisting of inequalities of  $J_2$  that can be exchanged with an inequality of  $J_1$  without changing the represented state. This set ensures that the result is independent of the representation of  $P_1$  and  $P_2$ . In order to illustrate this, consider Fig. 2. The first two diagrams depict the two inputs to the widening operator. Suppose that the first polyhedron  $P_1$  is represented by  $I_1 = \{1 \le x, x \le 1, 1 \le y, y \le 1\}$  whereas  $P_2$  is represented by  $I_2 = \{1 \le x, y \le x, x \le y, x \le 2\}$ . Only  $C_1 = \{1 \le x, 1 \le y\}$  is satisfied by  $P_2$ , thus the original widening returns the state depicted in the third diagram. Standard widening adds  $C_2 = \{y \le x, x \le y\}$  since these can be exchanged with  $x \le 1, y \le 1 \in J_1$  without changing  $[\![J_1]\!]$ . However, each of the  $|J_1||J_2|$  entailment checks  $[\![5]\!]$  requires a Simplex query. Thus, we propose to store a polyhedron in a normal form and show how this can refine the problem of making widening resilient to the representation of polyhedra.

## Listing 1 Inlining equalities into an inequality.

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procedure inline(E, \mathbf{a} \cdot \mathbf{x} \leq c) where E \subseteq Eq_n, \mathbf{a} \cdot \mathbf{x} \leq c \in Ineq_n, \mathbf{a} \equiv \langle a_1, \dots a_n \rangle
1: for \mathbf{a}^= \cdot \mathbf{x} = c^= \in E where \mathbf{a}^= \equiv \langle a_1^=, \dots a_n^= \rangle do
2: i \leftarrow \min\{i \mid a_i^= \neq 0\} /* find the index of the first non-zero coefficient */
3: if a_i = 0 then continue; /* skip loop if inequality does not contain x_i */
4: \mathbf{a} \cdot \mathbf{x} \leq c \leftarrow (a_i^= \mathbf{a} - a_i \mathbf{a}^=) \cdot \mathbf{x} \leq (a_i^= c - a_i c^=)
5: end for
6: return \langle \mathbf{a} \cdot \mathbf{x} \leq c \rangle
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## 3.1 Normalizing the Constraint Representation

Given  $J\subseteq Ineq_n$ , we construct a canonical representation for  $P=\llbracket J \rrbracket$  as follows:

- 1. We compute the affine space that P lies in by calculating  $c' = -max(-\mathbf{a} \cdot \mathbf{x}, J)$  for each  $\mathbf{a} \cdot \mathbf{x} \leq c \in J$ . If c' = c then  $\mathbf{a} \cdot \mathbf{x} = c$  holds in P. By performing Gaussian elimination on these equalities, we obtain an equality system in reduced row echelon form, which we denote as E.
- 2. For each  $\iota \in J$ , we apply a function  $inline(E, \iota)$ , presented as Listing 1, that eliminates those variables in  $\iota$  that are leading variables in E.
- 3. Finally, we normalize each inequality such that the leading coefficient is either 1 or -1 and remove constraints that are redundant. We get a new set of inequalities, which we denote as I.

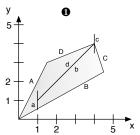
The above steps calculate a canonical representation  $\langle E, I \rangle$  of any  $P \in Poly_n$ , see e.g. [15]. For the remainder of the paper, we assume that polyhedra are represented as normalized sets of equalities and inequalities.

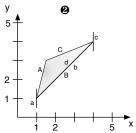
#### 3.2 Standard Widening on Normalized Constraints

Given that the input constraints  $\llbracket E_i, I_i \rrbracket = P_i, i = 1, 2$  of the widening operator are normalized, the pre-condition  $P_1 \subseteq P_2$  implies that  $\llbracket E_1 \rrbracket \subseteq \llbracket E_2 \rrbracket$ . Since the affine space common to  $E_1$  and  $E_2$  is by definition stable, it suffices to widen the two systems  $E^{\leq} \cup I_1$  and  $I_2$  where  $E \subseteq Eq_n$  describes the affine space of  $P_1$  without that of  $P_2$ . For example, if  $E_1 = \{x = 0, y = 0\}$  and  $E_2 = \{x = y\}$  then  $E_C = \{x = y\}$  is the common affine space and  $E = \{y = 0\}$ . In general, after normalization and omission of  $E_C$ , the constraint sets  $E_1, I_1$ , and  $I_2$  can be written as follows:

$$E_1$$
:  $\mathbb{I}\boldsymbol{v} + A_E\boldsymbol{w} = \boldsymbol{c}_E$   
 $I_1$ :  $A_1\boldsymbol{w} \leq \boldsymbol{c}_1$   
 $I_2$ :  $A_2^v\boldsymbol{v} + A_2^w\boldsymbol{w} \leq \boldsymbol{c}_2$ 

Here,  $\mathbb{I}$  denotes the identity matrix and  $\langle \boldsymbol{v}, \boldsymbol{w} \rangle$  span the variable set  $\boldsymbol{x}$ . Restricting the input constraints to the systems above not only improves efficiency of the widening operator but also allows its implementation to be much simpler than in the definition of standard widening presented in Sect. 3. Before we show this, we observe that an inequality can be modified by inlining equalities without changing the polyhedron. The following refers to the *inline* function in Listing 1.





**Fig. 3.** Non-trivial applications of standard widening. Each letter is written on the infeasible side of the facet it denotes (i.e. the outside of the polyhedron). Lower case letters denote the constraints of the first polyhedron, capital letters those of the second.

**Lemma 1.** Let  $\iota' = inline(E, \iota)$  then  $\llbracket I \cup \{\iota\} \cup E^{\leq} \rrbracket = \llbracket I \cup \{\iota'\} \cup E^{\leq} \rrbracket$ .

*Proof.* In Listing 1, at line 4, we have  $a_i^{\pm}x_i + \Sigma_{k>i}a_k^{\pm}x_k = c^{\pm}$ , which is equivalent to  $x_i = (c^{\pm} - \Sigma_{k>i}a_k^{\pm}x_k)/a_i^{\pm}$ . Thus  $\mathbf{a} \cdot \mathbf{x} \leq c$ , that is,  $a_ix_i + \Sigma_{k\neq i}a_kx_k \leq c$ , is equivalent to  $a_i(c^{\pm} - \Sigma_{k>i}a_k^{\pm}x_k)/a_i^{\pm} + \Sigma_{k\neq i}a_kx_k \leq c$ . Hence,  $\mathbf{a} \cdot \mathbf{x} \leq c$  is equivalent to  $(a_i^{\pm} \mathbf{a} - a_i \mathbf{a}^{\pm}) \cdot \mathbf{x} \leq (a_i^{\pm} c - a_i c^{\pm})$  w.r.t. the affine space E.

We now show how standard widening can be implemented using the normal representation. To this end, suppose that the two inputs to the widening are  $J_1 = E_1^{\leq} \cup I_1$  and  $J_2 = I_2$  with  $I_1, E_1, I_2$  in normal form as described above.

First, we calculate  $C_2$  (defined in Definition 1) syntactically and call this set  $I_C^S$ . The principle is depicted on the left of Fig. 3. Here the second polyhedron  $I_2 = \{A, B, C, D\}$  is widened with respect to  $I_1 = \{a, c\}, E_1^{\leq} = \{b, d\}$ . The resulting set according to Definition 1 is  $C_1 = \emptyset$  and  $C_2 = \{C, D\}$  since  $\llbracket I_1 \setminus \{c\} \cup \{C\} \rrbracket = \llbracket I_1 \rrbracket$  and  $\llbracket I_1 \setminus \{c\} \cup \{D\} \rrbracket = \llbracket I_1 \rrbracket$ . The set  $I_C^S \subseteq I_2$  is defined to be all  $\iota \in I_2$  such that  $inline(E, \iota)$  is in  $I_1$  or a tautology:

**Lemma 2.** Given  $J_1 = E_1^{\leq} \cup I_1$  and  $J_2 = I_2$  with  $E_1$  in reduced row echelon form,  $I_1 = \{inline(E_1, \iota) \mid \iota \in I_1\}$  and  $I_1, I_2$  normalized, then  $C_2 = I_C^S$  where

$$I_C^S = \{ \iota_2 \in J_2 \setminus J_1 \mid inline(E_1, \iota_2) \in I_1 \vee inline(E_1, \iota_2) \equiv \mathbf{0} \cdot \mathbf{x} \leq 0 \}.$$

*Proof.* For any  $\iota_2 \in J_2 \setminus J_1$  we show that  $\exists \iota_1 \in J_1, \llbracket (J_1 \setminus \iota_1) \cup \{\iota_2\} \rrbracket = \llbracket J_1 \rrbracket$  if and only if  $inline(E_1, \iota_2) \in I_1 \vee inline(E_1, \iota_2) \equiv \mathbf{0} \cdot \mathbf{x} \leq 0$ . We specialize this property into two separate cases depending on whether  $\iota_1 \in E_1^{\leq}$  or  $\iota_1 \in I_1$ :

 $\iota_1 \in E_1^{\leq} \text{: Show that } \llbracket I_1 \cup (E_1^{\leq} \setminus \iota_1) \cup \{\iota_2\} \rrbracket = \llbracket J_1 \rrbracket \text{ iff } inline(E_1, \iota_2) = \mathbf{0} \cdot \mathbf{x} \leq 0 \text{:}$  Since  $\iota_1 \in E_1^{\leq}$ , we have  $\llbracket I_1 \cup (E_1^{\leq} \setminus \iota_1) \cup \{\iota_2\} \rrbracket = \llbracket J_1 \rrbracket \text{ iff } \llbracket (E_1^{\leq} \setminus \iota_1) \cup \{\iota_2\} \rrbracket = \llbracket E_1^{\leq} \rrbracket \text{ iff } inline(E_1, \iota_2) \equiv \mathbb{E}_1^{\leq} \rrbracket$ . We will show next that  $\llbracket (E_1^{\leq} \setminus \iota_1) \cup \{\iota_2\} \rrbracket = \llbracket E_1^{\leq} \rrbracket \text{ iff } inline(E_1, \iota_2) \equiv \mathbf{0} \cdot \mathbf{x} \leq 0$ . Given any  $\iota_2$  with  $inline(E_1, \iota_2) \equiv \mathbf{0} \cdot \mathbf{x} \leq 0$  then  $\iota_2 + \lambda_1 e_1 + \ldots \lambda_k e_k \equiv \mathbf{0} \cdot \mathbf{x} \leq 0$  for some  $\lambda_1, \ldots, \lambda_k > 0$  where  $\{e_1, \ldots, e_k\} \in E_1^{\leq}$  but  $\bar{e}_i \notin \{e_1, \ldots, e_k\}$  for all  $i \in [1, k]$  where  $\bar{e}_i$  denotes the inequality in  $E_1^{\leq}$  that opposes  $e_i$ . In other words,  $\iota_2 = \lambda_1 \bar{e}_1 + \ldots \lambda_k \bar{e}_k$ . On the other hand, choose  $i \in [1, k]$  such that  $\iota_1 = \bar{e}_i$ . Then  $\llbracket \iota_1 \rrbracket = \llbracket \lambda_i \bar{e}_i \rrbracket = \llbracket \iota_2 + \lambda_1 e_1 + \ldots \lambda_{i-1} e_{i-1} + \lambda_{i+1} e_{i+1} + \ldots \lambda_k e_k \rrbracket$ . It follows that  $\llbracket (E_1^{\leq} \setminus \iota_1) \cup \{\iota_2\} \rrbracket = \llbracket E_1 \rrbracket$ .

 $\iota_1 \in I_1$ : Show that  $\llbracket (I_1 \setminus \iota_1) \cup E_1^{\leq} \cup \{\iota_2\} \rrbracket = \llbracket J_1 \rrbracket$  iff  $inline(E_1, \iota_2) = \iota_1$ : Again, we partition  $\boldsymbol{x}$  into  $\boldsymbol{v}, \boldsymbol{w}$  as described previously. Then let  $\iota_2 \equiv \boldsymbol{a}^v \boldsymbol{v} + \boldsymbol{a}^w \boldsymbol{w} \leq c$ . Suppose that  $\boldsymbol{a}^v = 0$  and thus  $inline(E_1, \iota_2) = \iota_2$ . Due to normalization  $\llbracket \iota_2 \rrbracket = \llbracket \iota_1 \rrbracket$  iff  $\iota_2 = \iota_1$  and thus  $\llbracket (I_1 \setminus \iota_1) \cup E_1^{\leq} \cup \{\iota\} \rrbracket = \llbracket J_1 \rrbracket$  holds iff  $\iota \equiv \iota_1$ . Now suppose that  $\boldsymbol{a}^v \neq 0$  and that  $inline(E_1, \iota) = \iota'$  where  $\iota' \equiv \boldsymbol{a'}^v \boldsymbol{v} + \boldsymbol{a'}^w \boldsymbol{w} \leq c'$ . Then  $\boldsymbol{a'}^v = 0$ . Using Lemma 1, we obtain the equivalent  $\llbracket (I_1 \setminus \iota_1) \cup E_1^{\leq} \cup \{\iota'\} \rrbracket = \llbracket J_1 \rrbracket$  and, since  $\boldsymbol{a'}^v = 0$  this is equivalent to  $\llbracket (I_1 \setminus \iota_1) \cup \{\iota'\} \rrbracket = \llbracket I_1 \rrbracket$  which, due to normalization, holds iff  $\iota' \equiv \iota_1$ .

As far as we know, it is not widely known that the definition of standard widening generates redundant constraints although it has been shown that these have to be removed for the correctness of future widening applications [1]. The second diagram of Fig. 3 presents an example where redundancies are produced. Here, the set  $I_2 = \{A, B, C\}$  is widened with respect to  $I_1 = \{a, c\}, E_1^{\leq} = \{b, d\}$ . Since  $C_1 = \{a, b, c\}$  and  $C_2 = \{A, C\}$ , the inequalities a and c are redundant in  $C_1 \cup C_2$ . We will now show that the common constraints  $I_C = (I_1 \cup E_1^{\leq}) \cap I_2$  corresponds to  $C_1$  without such redundant inequalities, in other words, we show that  $[I_C \cup I_C^S] = [C_1 \cup C_2]$ . We first characterize every inequality  $\iota \in C_1 \setminus I_C$  with respect to  $I_C^S$ :

# **Lemma 3.** For any $\iota \in C_1 \setminus I_C$ , $\llbracket I_C \cup I_C^S \rrbracket \subseteq \llbracket \iota \rrbracket$ .

Proof. By definition of  $C_1$  and the fact that  $[\![J_1]\!]\subseteq [\![J_2]\!]$ , there exist  $\lambda_j>0$  with  $\iota=\lambda_1\iota_1+\ldots\lambda_n\iota_n$  where  $\iota_j\in I_2,\ j=1,\ldots n$ . Note that  $I_C^S\subseteq J_2\setminus J_1=I_2\setminus (I_1\cup E_1^\leq)$  and hence  $I_C^S\cap I_C=\emptyset$ . Hence, without loss of generality, let  $\iota_1,\ldots\iota_k\in I_2\setminus I_C$  and  $\iota_{k+1},\ldots\iota_n\in I_C$ . We show that  $\iota_i\in I_C^S$  for all  $i\leq k$ . Let  $\iota\equiv a\cdot x\leq c$ . Consider  $\iota_i'=inline(E_1,\iota_i)$ . Note that  $\iota_i$  is not only entailed by  $J_1$  but also touches  $J_1$  and so does  $\iota_i'$ . Hence, if  $\iota_i'\equiv 0\cdot x\leq c'$  for some  $c'\in \mathbb{R}$  then c'=0 and  $\iota_i'\in I_C^S$ . Now assume  $\iota_i'\equiv a'\cdot x\leq c'$  with  $a'\neq 0$  but  $a'\neq a$ . Observe that  $inline(E_1,\iota_i)=\iota$  can be defined as a positive linear combination of some  $inline(E_1,\iota_j),\ j=1,\ldots n$ . This positive linear combination involves  $\iota_i'$  and therefore cannot define  $\iota$ , since  $J_1$  entails  $\iota_i'$  which would mean that  $\iota\in J_1$  is redundant. Hence a'=a. Since  $\iota_i$  touches  $J_1$ , c'=c and thus  $\iota_i\in I_C^S$ . Hence, for all  $i\leq k$ ,  $\iota_i\in I_C^S$  and thus  $\iota$  is redundant in  $[\![I_C\cup I_C^S]\!]$ .

Based on Lemma 2 and 3, standard widening can be implemented as follows:

**Theorem 1.** Let  $I_1, E_1$  and  $I_2$  be in normal form. Then  $\llbracket \mathcal{C}_1 \cup \mathcal{C}_2 \rrbracket = \llbracket I_C \cup I_C^S \rrbracket$ . Proof. By Lemma 2,  $\llbracket \mathcal{C}_1 \cup \mathcal{C}_2 \rrbracket = \llbracket \mathcal{C}_1 \cup I_C^S \rrbracket$ . By Lemma 3, any  $\iota \in \mathcal{C}_1 \setminus I_C$  is redundant with some inequalities in  $I_C \cup I_C^S$ . Thus, with  $\mathcal{C}_1 = I_C \cup (\mathcal{C}_1 \setminus I_C)$ , it follows that  $\llbracket \mathcal{C}_1 \cup I_C^S \rrbracket = \llbracket I_C \cup (\mathcal{C}_1 \setminus I_C) \cup I_C^S \rrbracket = \llbracket I_C \cup I_C^S \rrbracket$ .

The above exercise of expressing standard widening on a normalized H-representation, which we call "normal widening", is beneficial not only to simplify an actual implementation: Standard widening still leads to certain imprecisions that are illustrated in the next section. The normalized H-representation forms the basis for heuristics that improve upon standard widening without requiring the generators of a polyhedron.

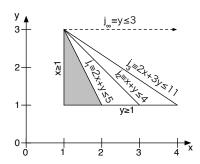


Fig. 4. Iterates with a single changing facet.

## 4 Improving Precision Through Additional Heuristics

The standard widening algorithm is very precise when the state changes in a way that adds new inequalities. In certain situations, however, the number of inequalities does not change, but their slopes change in that they rotate via some vertex of the polyhedron. In these cases standard widening removes the inequality, which may often cause loss of bounds on the variables in that direction. One technique is to check which upper and lower bounds of variables are the same between two iterations and to add these stable bounds back in if widening makes the polyhedron unbounded. In this section we are concerned with more sophisticated techniques that anticipate the way inequalities rotate. As far as we know, our proposal is the first heuristic that does not require the double description of a polyhedron. Furthermore, in contrast to previous heuristics [1], we are interested in extrapolating to a space that can possibly be a precise linear invariant. If this likely invariant turns out to an incorrect guess, we aim to widen quickly while retaining stable upper and lower bounds on variables.

In order to illustrate a possible precision loss of standard widening, consider Fig. 4 which shows the polyhedra  $P_i = [\{x \geq 1, y \geq 1, j_i\}]$  that present consecutive iterations of a fixpoint computation. Standard widening identifies the inequalities  $j_i$  as unstable and thus return  $P' = [\{x \geq 1, y \geq 1\}]$  as fixpoint. This example is reasonable since the states are the result of  $P_i = \bigcup_i F^i(P_0)$ where  $P_0 = [\{x = 1, 1 \le y \le 3\}]$  and the transfer function  $F(P) = (P \cap \{y = 1\})$ 1})[x/x-1] where F implements the program fragment if (y==1) x:=x+1. Given that the crucial statement does not change any values in the area where y=3, the loss of the upper bound  $y\leq 3$  is unexpected and often unacceptable. While re-adding stable bounds would fix this particular case, it cannot help when the state space development is rotated slightly which would be the case for if (x==y) { x:=x+1; y:=y+1 }. It is tempting to "guess" that  $j_i$  may evolve until it is anti-parallel to  $y \ge 1$ , however, as the next section will demonstrate, a reasonable opposing inequality does not always exist nor is it evident that a loop in a program should lead to opposing inequalities. The next section therefore considers the more general case of two evolving inequalities.

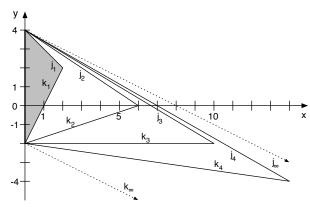


Fig. 5. An evolving sequence of polyhedra in which several facets change.

#### 4.1 Widening in the Presence of Several Changing Inequalities

Defining a heuristic based on rotating inequalities is a tempting approach since these inequalities rotate because they connect a stable part of the state space with the evolving part. However, it is difficult to know how to capture rotation and how far to rotate. A rotation is defined as a multiplication with a matrix whose determinant is one which is impossible to implement with exact rational arithmetic. Instead, the difference between coefficients could be tracked which is demonstrated in Fig. 5. Here, the initial state  $P_0 = [\{y \ge -2, y \le 4, x = 0\}]$  is repeatedly transformed by  $F(P) = P \overline{\ \ } ((P \cap [\{x+2y=6\}])[x/(x+4), y/(y+2)])$  which implements the program fragment if (x+2y=6)  $\{x:=x-4; y:=y-2; \}$ .

The polyhedra  $P_1, \ldots P_3$  can be described by the inequality sets  $\{y \ge -2, y \le 4, x \ge 0, j_i, k_i\}$ . The inequalities  $j_i$  and  $k_i$  are as follows:

$$j_0 \equiv k_0 \equiv x \le 0$$

$$j_1 \equiv x + y \le 4 \qquad k_1 \equiv x - \frac{1}{2}y \le 1$$

$$j_2 \equiv x + \frac{3}{2}y \le 6 \qquad k_2 \equiv x - 3y \le 6$$

$$j_3 \equiv x + \frac{5}{3}y \le \frac{20}{3} \qquad k_3 \equiv -y \le 2$$

$$j_4 \equiv x + \frac{1}{4}y \le 7 \qquad k_4 \equiv -x - 7y \le 14$$

$$j_\infty \equiv x + 2y \le 8 \qquad k_\infty \equiv -x - 2y \le 4$$

While certain sequences of inequalities have a constant difference between their fractions of corresponding variables (e.g., the fractions of x and y in  $j_1, j_2, j_3, j_4$ ), this difference is occasionally disrupted due to normalization (c.f.  $k_3$ ). Furthermore, it is not clear how to infer  $j_{\infty}$  and  $k_{\infty}$ . Thus, rather than anticipating how inequalities change that connect the evolving to the stable parts of the polyhedron, we try to identify the trajectory of the evolving parts and calculate new inequalities that do not obstruct this trajectory. To this end, we first partition the inequalities of the two polyhedra using split in Listing 2 that, unlike the standard widening, partitions the inequality sets into stable inequalities  $I_C$  and stable inequalities st

## Listing 2 Separating inequalities according to stability

```
procedure split(E_1,I_1,I_2) where E_1\subseteq Eq_n,I_1\subseteq Ineq_n,I_2\subseteq Ineq_n
I_C\leftarrow (I_1\cup E_1^{\leq})\cap I_2
I_1^{\Delta}\leftarrow (I_1\cup E_1^{\leq})\setminus I_C
I_2^{\Delta}\leftarrow I_2\setminus I_C
I_{2,t}^{\Delta}\leftarrow \{\iota_2\in I_2\setminus (I_1\cup E_1^{\leq})\mid inline(E_1,\iota_2)\in I_1\vee inline(E_1,\iota_2)\equiv \mathbf{0}\cdot \mathbf{x}\leq 0\}
for \mathbf{a}\cdot \mathbf{x}\leq c\in I_2^{\Delta} do
c'\leftarrow max(\mathbf{a}\cdot \mathbf{x},E_1\cup I_1)
if c'=c then
I_{2,t}^{\Delta}\leftarrow I_{2,t}^{\Delta}\cup \{\mathbf{a}\cdot \mathbf{x}\leq c\}
end if
end for
return \langle I_C,I_1^{\Delta},I_2^{\Delta},I_{2,t}^{\Delta}\rangle
```

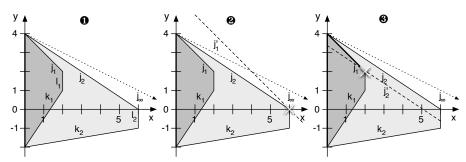


Fig. 6. Extrapolating the evolving area of the polyhedron using linear programming.

the polyhedron  $P_1$ . The idea is that inequalities in  $I_{2,t}^{\Delta}$  connect the stable with the evolving part of the polyhedron whereas  $I_2^{\Delta} \setminus I_{2,t}^{\Delta}$  only touch the evolving part and can thus not be used to reason about how the  $P_i$  will change.

Given these sets, the idea of our heuristic is illustrated in Fig. 6 which shows a modified version of the previous example. Here,  $I_C = \{x \geq 0\}$  is the only common inequality and  $I_i^{\Delta} = \{j_i, k_i, l_i\}$ . In particular,  $I_{2,t}^{\Delta} = \{j_2, k_2\}$  contains the set of inequalities that we can use to reason about the change in state. We commence by maximizing  $j_2$  in  $P_1$ . Although we know that  $j_2$  touches  $P_1$  (that is, we know that the maximum is the right-hand side of  $j_2$ ), the Simplex solver returns a set of inequalities whose combination is  $j_2$ . From the first diagram in Fig. 6 it can be seen that  $j_2$  is a linear combination of  $x \geq 0 \in I_C$  and  $j_1 \in I_1^{\Delta}$ . Hence, we know (or assume) that  $j_2$  has evolved from  $j_1$  as both are unstable and touch the stable part of the state space at (at least) one vertex.

The second diagram shows how we now infer an evolving vertex that lies in  $j_2$ . Specifically, we maximize the inequality  $j_1 \equiv \mathbf{a}_1 \cdot \mathbf{x} \leq c_1$  in  $P_2$  which yields a new constant  $c_1'$  at which the displaced inequality  $j_1'$  touches the evolving vertex. This vertex is shown as white cross in the second diagram. We store this displacement as  $\delta_1 = c_1' - c_1$ . Diagram three now shows how we find a vertex in  $P_1$  from which this vertex originated. In particular, we reduce  $P_1$  to the boundary of  $j_1$  by adding  $\mathbf{a}_1 \cdot \mathbf{x} = c_1$  to its inequality set. The resulting space

## **Listing 3** Directed widening.

```
procedure widen(I_C, I_1^{\Delta}, I_2^{\Delta}, I_{2,t}^{\Delta}) where I_C, I_1^{\Delta}, I_2^{\Delta} \subseteq Ineq_n, I_{2,t}^{\Delta} \subseteq I_2^{\Delta}
  1: R \leftarrow \emptyset
  2: I_D \leftarrow I_C
  3: A_1 \boldsymbol{x} \leq \boldsymbol{c}_1 \leftarrow I_C \cup I_1^{\Delta}
  4: A_2 \mathbf{x} \leq \mathbf{c}_2 \leftarrow I_C \cup I_2^{\Delta}
  5: for \boldsymbol{a}_2 \cdot \boldsymbol{x} \leq c_2 \in I_{2,t}^{\Delta} do
              if (\kappa_i A) \cdot \boldsymbol{x} \leq (\kappa_i \boldsymbol{c}) \in I_1^{\Delta}
              \boldsymbol{a}_1 \cdot \boldsymbol{x} \leq c_1 \leftarrow (\boldsymbol{\lambda}_1^{\Delta} A_1) \cdot \boldsymbol{x} \leq (\boldsymbol{\lambda}_1^{\Delta} \cdot \boldsymbol{c}_1)
              c_2' \leftarrow -max(-\boldsymbol{a}_2 \cdot \boldsymbol{x}, I_C \cup I_1^{\Delta} \cup \{\boldsymbol{a}_1 \cdot \boldsymbol{x} = c_1\})
 9:
               c_1' \leftarrow max(\boldsymbol{a}_1 \cdot \boldsymbol{x}, I_C \cup I_2^{\Delta} \cup \{\boldsymbol{a}_2 \cdot \boldsymbol{x} = c_2\})
if c_1' < \infty \land c_2' > -\infty \land c_1' > c_1 \land c_2 > c_2' then
10:
11:
                      \delta_1 \leftarrow c_1' - c_1
12:
                      \delta_2 \leftarrow c_2 - c_2'
13:
                      \boldsymbol{a}_3 \leftarrow \delta_2 \boldsymbol{a}_1 - \delta_1 \boldsymbol{a}_2
14:
                      c_3 \leftarrow max(\boldsymbol{a}_3 \cdot \boldsymbol{x}, I_C \cup I_2^{\Delta})
15:
                      I_D \leftarrow I_D \cup \{\boldsymbol{a}_3 \cdot \boldsymbol{x} \leq c_3\}
16:
17:
                      r_1 \leftarrow calcRay(\boldsymbol{a}_1, \boldsymbol{a}_2) /* Calculate ray with \boldsymbol{a}_1 \cdot \boldsymbol{r} = 0 and \boldsymbol{a}_2 \cdot \boldsymbol{r} \leq 0. */
18:
                     r_2 \leftarrow calcRay(-\boldsymbol{a}_2, -\boldsymbol{a}_1)
19:
                      R \leftarrow R \cup \{evolveRay(\boldsymbol{r}_1, \boldsymbol{r}_2)\}
20:
21:
                end if
22: end for
23: for r \in R do
                I_D \leftarrow addRay(I_D, r)
24:
25: end for
26: return I_D
```

 $P_1 \cap \llbracket \boldsymbol{a}_1 \cdot \boldsymbol{x} = c_1 \rrbracket$  is indicated as thick line in the third diagram. We then minimize  $j_2 \equiv \boldsymbol{a}_2 \cdot \boldsymbol{x} \leq c_2$  in this space, yielding the white vertex in the third diagram. From the inferred minimum  $c_2'$ , we calculate the displacement  $\delta_2 = c_2 - c_2'$ . The inequality  $(\delta_2 \boldsymbol{a}_1 - \delta_1 \boldsymbol{a}_2) \cdot \boldsymbol{x} \leq \delta_2 c_1 - \delta_1 c_2$  is now the sought after inequality  $j_\infty$  that is rotated around the intersection of  $j_1$  and  $j_2$  and has a slope that could connect the two white crosses. A similar calculation can be performed to find  $k_\infty$ . Based on this general idea, the next section details the general algorithm that also works for possibly unbounded polyhedra in higher dimensions.

## 4.2 Implementation

Algorithm 3 implements our heuristic which we call "directed widening". The shown function takes the constraint sets describing  $P_1$  and  $P_2$  after they have been partitioned by Alg. 2. In terms of general structure, it calculates a set of rays R and a set of output constraints  $I_D$ . By default, line 23 will add the rays R to  $[\![I_D]\!]$ , and this process is detailed in Listing 5 for self-containedness.

## **Listing 4** Calculating a ray that is normal to $v_1$ .

```
\begin{array}{ll} \textbf{procedure} & calcRay(\boldsymbol{v}_1,\boldsymbol{v}_2) \text{ where } \boldsymbol{v}_1,\boldsymbol{v}_2 \in \mathbb{Q}^n \\ 1: & \textbf{return} & (\boldsymbol{v}_2 \cdot \boldsymbol{v}_1)\boldsymbol{v}_1 - (\boldsymbol{v}_1 \cdot \boldsymbol{v}_1)\boldsymbol{v}_2 \end{array}
```

## **Listing 5** Adding a ray r to an H-polyhedron.

```
procedure addRay(I, r) where I \subseteq Ineq_n, r \in \mathbb{Q}^n

1: I' \leftarrow \{\kappa_{n+1} \cdot x' \leq 0\} /* Let x' be an n+1-dimensional vector of variables. */

2: for a \cdot x \leq c \in I do

3: a' \leftarrow \langle a_1, \dots a_n, a \cdot r \rangle where \langle a_1, \dots a_n \rangle = a

4: I' \leftarrow I' \cup \{a' \cdot x' \leq c\}

5: end for

6: return \exists_{x_{n+1}}(I') /* \exists_x(\cdot) is a function that projects out x */
```

The algorithm itself converts the constraint system representing  $P_1$  into matrix format (line 3) which is necessary to extract a facet of  $P_1$  that an inequality  $\mathbf{a}_2 \cdot \mathbf{x} \leq c_2 \in I_{2,t}^{\Delta}$  has evolved from. In particular, the facet of  $P_1$  that  $\mathbf{a}_2 \cdot \mathbf{x} \leq c_2$  has evolved from might be a linear combination of the unstable inequalities in  $I_1^{\Delta}$  rather than a single inequality. In order to find this linear combination, line 6 runs an extended linear program. The maximum  $c_2$  in the result is ignored and only the vector of multipliers  $\lambda_1$  is kept which obeys  $\lambda_1 A_1 = \mathbf{a}_2$ . However,  $\lambda_1$  combines inequalities from  $I_1^{\Delta}$  and  $I_C$  whereas we are only interested in a linear combination of the unstable inequalities  $I_1^{\Delta}$ . To this end, line 7 sets all coefficients of  $\lambda_1^{\Delta}$  to zero that correspond to a stable inequality in  $I_C$ . Here,  $\kappa_i$  denotes a vector that contains a one in the *i*th position and is zero otherwise.

Note that  $\lambda_1^{\Delta}$  is non-zero since otherwise  $\lambda_1$  would only combine inequalities in  $I_C$  and thus  $\llbracket I_C \rrbracket \subseteq \llbracket \boldsymbol{a}_2 \cdot \boldsymbol{x} \leq c_2 \rrbracket$ . In this case, since the inequalities  $I_C$  also describe  $P_2$ , the constraint  $\boldsymbol{a}_2 \cdot \boldsymbol{x} \leq c_2 \in I_2^{\Delta}$  would be redundant in  $I_C \cup I_2^{\Delta}$  which contradicts our assumption of a non-redundant representation.

The resulting  $\lambda_1^{\Delta}$  is now used in line 8 to calculate a *virtual* inequality  $a_1 \cdot x \le c_1$  from  $I_1^{\Delta}$  which may be linear combination of several inequalities of  $I_1^{\Delta}$ . As explained, we assume that  $\iota_2 \equiv a_2 \cdot x \le c_2$  has evolved from  $\iota_1 \equiv a_1 \cdot x \le c_1$ . We now measure the distance that  $\iota_2$  can be moved inwards on the boundary of  $\iota_1$  in line 9. Analogously, we measure how much  $\iota_1$  can be moved outwards on the boundary of  $\iota_2$  in line 10. Note that, in order to ensure that we find a maximum on  $\iota_2$  and not on a different facet, also restrict the polyhedron  $P_2$  to the boundary of  $\iota_2$ . As an example for why this is necessary, consider adding an inequality  $m_2$  to the system in Fig 6 whose slope is between  $j_2$  and  $l_2$ . Simply maximizing  $j_1$  in  $P_2$  may find the maximum at the intersection of  $l_2$  and  $m_2$ .

If both,  $\iota_1$  and  $\iota_2$  can be translated a finite amount within the other inequality, the two distances  $\delta_1$  and  $\delta_2$  are used to calculate the slope of the new inequality  $\iota_\infty \equiv \boldsymbol{a}_3 \cdot \boldsymbol{x} \leq c_3$ . However, rather than calculating  $c_3$ , line 15 infers the constant  $c_3$  using a linear program. This is necessary, since  $\iota_\infty$  has a slope that may cut off some state of the current state space. By maximizing constant of  $\iota_\infty$  in  $I_2 = I_C \cup I_2^\Delta$  we ensure that it entails the current state.

| t      |                          | timed1_cl            |                     |                            |                   | timed2_cl                |                      |                               |                            |              | timed2             |                           |                                |                                  |                   | initializedRect                |                              |                          |                             |    |
|--------|--------------------------|----------------------|---------------------|----------------------------|-------------------|--------------------------|----------------------|-------------------------------|----------------------------|--------------|--------------------|---------------------------|--------------------------------|----------------------------------|-------------------|--------------------------------|------------------------------|--------------------------|-----------------------------|----|
| 1      | :                        | DW                   |                     | PPL FI                     |                   | DW                       |                      | PPL                           |                            | FP           | P DW               |                           | PPL                            |                                  | FP                | DW                             |                              | P                        | PL                          | FP |
| 0      | 14                       | 4 0.03               | 27                  | 0.09                       |                   | 19                       | 0.05                 | 27                            | 0.09                       | +            | 14                 | 0.02                      | 20                             | 0.05                             |                   | 26                             | 0.07                         | 33                       | 0.11                        |    |
| 1      | 1                        | 4 0.03               | 43                  | 0.15                       | =                 | 19                       | 0.05                 | 43                            | 0.16                       | #            | 14                 | 0.02                      | 26                             | 0.06                             | ⊒│                | 26                             | 0.07                         | 42                       | 0.15                        | =  |
|        | =                        | =                    | =                   |                            |                   | <del>/</del>             |                      | =                             | 0.00                       | ,            | ≠                  |                           | =                              | 0.00                             |                   | =                              | 0.10                         | =                        | 0.10                        |    |
| 2      | 20                       | 0.06                 | 63                  | 0.23                       | =                 | 25                       | 0.06                 | 59                            | 0.23                       | <i>\</i>     | 19                 | 0.03                      | 29                             | 0.08                             | =                 | 34                             | 0.10                         | 51                       | 0.19                        | =  |
| 3      | 4:                       | $\frac{1}{2}0.11$    | 80                  | 0.29                       | _                 | 41                       | 0.11                 | 73                            | 0.32                       | ≠            | 25                 | 0 04                      | 29                             | 0.08                             | _                 | 43                             | $ _{0.12}$                   | 60                       | 0.23                        | _  |
|        | =                        | = 0.11               | =                   | 0.20                       |                   | =                        | 0.11                 | =                             | 0.02                       | /            |                    | 0.01                      | =                              | 0.00                             |                   | =                              | 0.12                         | =                        | 0.20                        |    |
| 4      | 62                       | 2 0.17               | 95                  | 0.35                       | =                 | 55                       | 0.17                 | 85                            | 0.37                       | $\neq$       | 28                 | 0.05                      | 29                             | 0.08                             | =                 | 52                             | 0.15                         | 69                       | 0.27                        | =  |
|        |                          |                      |                     |                            |                   |                          |                      |                               |                            |              |                    |                           |                                |                                  |                   |                                |                              |                          |                             |    |
| Ī.     |                          | mul                  | tira                | te_cl                      |                   |                          | mu                   | ltir                          | ate                        |              | init               | ialize                    | dSir                           | ngula                            | r_cl              |                                | rect                         | ang                      | ular                        |    |
| t      | I                        | mul<br>OW            |                     |                            | FP                | D                        | mu                   |                               |                            | FP           |                    | ialize<br>W               | P                              | $_{ m PL}$                       | FP                |                                | rect<br>W                    |                          | ular<br>PL                  | FP |
| t<br>0 |                          |                      | P                   | PL                         | FP                |                          | W                    | Ρ                             |                            | FP           | D                  |                           | P<br>51                        | PL<br>0.34                       | FP<br>⊒           |                                |                              | P                        | PL                          |    |
| 1 -    | 19                       | )W                   | P: 27               | PL                         | FP<br>⊒           | 14                       | 0.02                 | P<br>20                       | PL                         | FP           | D<br>26            | W                         | P<br>51                        | $_{ m PL}$                       | FP<br>⊒           | Γ                              | $\mathbf{W}$                 | 14                       | PL                          | ⊑  |
| 1      | 19<br>19<br>≠            | 0.04<br>0.04         | P:<br>27<br>43<br>≠ | PL<br>0.08<br>0.12         | FP<br>□<br>□      | 14<br>14<br>≠            | 0.02<br>0.02         | P<br>20<br>26<br>≠            | PL<br>0.04<br>0.06         | FP<br>□      | D<br>26<br>26<br>≠ | W<br>0.16<br>0.16         | P<br>51<br>83<br>≠             | PL<br>0.34<br>0.55               | FP<br>□<br>□      | 14<br>14<br>14<br>≠            | 0.07<br>0.07                 | P<br>14<br>26<br>≠       | PL<br>0.07<br>1.33          |    |
| 1      | 19<br>19<br>≠            | 0.04                 | P:<br>27<br>43<br>≠ | PL<br>0.08<br>0.12         | FP<br>□<br>□      | 14<br>14<br>≠            | 0.02<br>0.02         | P<br>20<br>26<br>≠            | PL<br>0.04                 | FP<br>□      | D<br>26<br>26<br>≠ | W<br>0.16<br>0.16         | P<br>51<br>83<br>≠             | PL<br>0.34                       | FP<br>□<br>□      | 14<br>14<br>14<br>≠            | 0.07                         | P<br>14<br>26<br>≠       | $\frac{\mathrm{PL}}{0.07}$  |    |
| 1 2    | 19<br>19<br>≠<br>25<br>= | 0.04<br>0.04<br>0.05 | P: 27 43 ≠ 63 =     | PL<br>0.08<br>0.12<br>0.19 | FP<br>□<br>□<br>= | 14<br>14<br>≠<br>19<br>= | 0.02<br>0.02<br>0.03 | P<br>20<br>26<br>≠<br>32<br>= | PL<br>0.04<br>0.06<br>0.07 | FP<br>□<br>= | D 26 26 ≠ 50 =     | W<br>0.16<br>0.16<br>0.28 | P<br>51<br>83<br>≠<br>123<br>= | PL<br>  0.34<br>  0.55<br>  0.81 | FP<br>□<br>□<br>= | 14<br>14<br>14<br>≠<br>26<br>= | 0.07<br>0.07<br>0.07<br>1.30 | 14<br>26<br>≠<br>42<br>= | PPL<br>0.07<br>1.33<br>5.50 |    |
| 1 2    | 19<br>19<br>≠<br>25<br>= | 0.04<br>0.04         | P: 27 43 ≠ 63 =     | PL<br>0.08<br>0.12<br>0.19 | FP<br>□<br>□<br>= | 14<br>14<br>≠<br>19<br>= | 0.02<br>0.02<br>0.03 | P<br>20<br>26<br>≠<br>32<br>= | PL<br>0.04<br>0.06         | FP<br>□<br>= | D 26 26 ≠ 50 =     | W<br>0.16<br>0.16<br>0.28 | P<br>51<br>83<br>≠<br>123<br>= | PL<br>0.34<br>0.55               | FP<br>□<br>□<br>= | 14<br>14<br>14<br>≠<br>26<br>= | 0.07<br>0.07<br>0.07<br>1.30 | 14<br>26<br>≠<br>42<br>= | PL<br>0.07<br>1.33          |    |

**Table 1.** Counting the widening applications and comparing the precision of fixpoints.

In case  $\iota_1$  can be relaxed without ever touching a vertex in  $P_2$ , then  $P_2$  contains a ray. The task, therefore, is to calculate a ray towards a different direction. Line 18 calculates a ray that is orthogonal to the normal vector  $\boldsymbol{a}_1$  of inequality  $\iota_1$  and which lies on the feasible side of inequality  $\iota_2$ . Analogously, line 19 infers a ray that is orthogonal to  $\iota_2$  but which lies on the infeasible side of inequality  $\iota_1$ . Thus,  $\boldsymbol{r}_1$  is contained in  $P_1$  whereas  $P_2$  contains both,  $\boldsymbol{r}_1$  and  $\boldsymbol{r}_2$ . A new ray is needed that anticipates the evolution of these two rays. This task is delegated to a heuristic evolveRay which checks in which elements the ray is changing (modulus scaling) and sets these indices to zero. For each index i that is set to zero, the corresponding variable  $x_i$  receives a lower or upper bound. Since this heuristic has already been presented in [1] we omit it here. The resulting ray is then added to the constraint set using projection as implemented by addRay in Fig 5. Projection on constraints can be implemented using Fourier-Motzkin variable elimination. We now proceed to evaluate our heuristic.

## 5 Evaluation

Implementing standard widening by our normal widening algorithm of Sect. 3.2 refines the quadratic number of entailment checks of [5] to a few syntactic checks. Each entailment check requires a different linear program to be run and is thus rather expensive. Replacing the costly entailment checks with normal widening reduces the total analysis time from 0.210s to 0.149s in one of our larger tests. Since the speed-up is only 40%, we conclude that the analysis time is dominated by the evaluation of the instructions in the loop body rather than by the widening

algorithm itself. Thus, in order to assess the merit of a widening, it is more informative to count the number of iterations that are required to find a fixpoint.

To this end, we compared directed widening of Sect. 4 against the BHRZ03 widening [1] of the Parma Polyhedra Library which is implemented based on the double description method and combines several heuristics. A direct comparison is hampered by the use of tokens. The idea is that the user assigns a number of tokens to a widening point which can be used up to perform heuristics that may not terminate. Once all tokens are used, only heuristics may be applied that eventually terminate, e.g. standard widening. Choosing the right number of tokens is often considered "black magic". Since our directed widening tries to find linear translations in the loop body, it needs to observe the effect of two translations, say between  $P_0, P_1$  and  $P_1, P_2$ , in order to extrapolate the change between them. Thus, the right number of tokens for our algorithm is always two. Note that tokens do not directly relate to the number of iterations required to reach a fixpoint: tokens do not have to be used when applying a heuristic that eventually terminates. For instance, the number of equalities that hold in a polyhedron can only decrease, thus, one could perform any non-terminating extrapolation while the number of equalities decreases without using up tokens.

In order to assess how quickly the widenings enforce termination and how precise the obtained fixpoint is, we picked eight example systems from the timed/hybrid automata literature [11,13], each containing several nested loops. Table 1 shows the number of tokens (column "t") that the widening was allowed to use at each loop. For each number of tokens, the double columns directed widening "DW" and BHRZ03 widening "PPL" show the number of calls to the widening operator required to reach a fixpoint and the total analysis time (measured in seconds). The running time of the analyses is roughly proportional to the number of calls to the widening operator which, in turn, corresponds to the number of times a loop body is evaluated. We thus address after how many iterations our directed widening obtains a fixpoint that is as precise as that of the PPL.

To this end, we decorated the table with  $=, \neq, \sqsubseteq, \supseteq$  to compare the precision of the obtained fixpoints. Specifically, the column "FP" contains  $\sqsubseteq$  if the fixpoint was better in the directed widening, and  $\supseteq$  if it was better in the PPL. Fixpoints can also be equal = or incomparable  $\neq$ . For comparisons between the fixpoints of the same algorithm running with different tokens, we use  $\neq$  to indicate that the fixpoint changed. As predicted, our directed widening obtains its best fixpoint with two tokens, which is sufficient to identify linear translations. Interestingly, both heuristics obtain similar precision given enough tokens. However, the number of iterations needed to obtain this precision is always lower for our directed widening, thereby leading to a faster analysis. For instance, in the seventh table "initializedSingular", both algorithms obtain their best fixpoint with two tokens. However, the PPL requires 123 evaluations of loop bodies whereas our directed widening only requires 50, yielding a considerable speed-up in the overall analysis time. Thus, even if our heuristic cannot infer more precise invariants than the combined heuristics gathered in the BHRZ03 widening of the Parma Polyhedra Library, our directed widening performs better by finding the fixpoints faster.

## 6 Conclusion and Related work

We have presented a simple implementation of standard widening [10] and a precise heuristic that finds fixpoints quickly. Moreover, our heuristic operates on H-polyhedra which, to our knowledge, make it the first heuristic that does not rely on the double description. This makes our directed widening particularly interesting to implementations of polyhedra that only use constraints [18,19].

The first widening operator for the polyhedra domain was proposed in [7] and corresponds to the set  $C_1$  as defined in Sect. 3. Halbwachs proposed the revised widening or standard widening [10] and already provided an efficient implementation based on the double description of polyhedra. Benoy [2] showed that the above two widenings coincide when the affine spaces of the two argument polyhedra are stable. Chen et al. [5] showed that standard widening can be implemented on constraints only by using linear programming.

A wider field is the area of defining heuristics to improve standard widening. Besson et al. [3] propose a heuristic based on the generator representation that terminates since it guarantees a decreasing number of vertices and an increasing number of extreme rays. In the context of the analysis of timed automata, several heuristics have been proposed [8,12,13]. Bagnara et al. [1] compile several heuristics, such as combining constraints, evolving points, evolving rays, etc. Their heuristics require the generator representation as well as constraints.

Mostly orthogonal to improving the widening operator directly are attempts to limit the state space after widening. Besides classic narrowing [7], an established technique is widening with thresholds [4] which uses a finite set of user-specified values (thresholds) on individual variables up to which the state space is extrapolated. Similar to the thresholds strategy, Halbwachs et al. [11,13] propose widening up-to technique to improve the widening by adding additional constraints from a fixed and finite set of constraints. Chen et al. [6] lift the thresholds strategy to relational domains in order to guess the slope (i.e. the variable coefficients) to obtain possibly stable constraints. Simon et al. [20] propose widening with landmarks which refines widening with thresholds by collecting unsatisfiable inequalities (called landmarks) and extrapolating polyhedra to the closest landmark during widening. Gopan et al. [9] propose lookahead widening, which improve the precision by a tuple of polyhedra in which the first determines which branches of a program are enabled while the second polyhedron is widened and narrowed. The net effect of both methods is that no new branches are enabled as the result of widening.

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